# Light sterile neutrinos in the early universe

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#### $v_s$ and cosmic radiation energy density

- Motivations and status
  - how much room for  $v_s$  in the universe?
- Contribution to radiation energy density: suppression effects
  - Partial thermalization
  - Mass correction
- discussion

How much room for  $v_s$  in the Early Universe?

#### **MOTIVATION AND STATUS**

# Probing new light particles with cosmology

- Effective number of relativistic species:
  - in units of neutrinos:  $N_{ ext{eff}} = rac{
    ho_{ ext{rel}} 
    ho_{\gamma}}{
    ho_{
    u}^{ ext{th}}},$
  - Neutrino energy density  $ho_{
    u}^{
    m th}=(7\pi^2/120)(4/11)^{4/3}T_{\gamma}^4$
- Standard Model:  $N_{eff} = 3.046$
- Beyond the SM: dark radiation
  - $-\Delta N_{\rm eff} = N_{\rm eff} 3.046$
  - generally time dependent, non-integer

## Measurements of N<sub>eff</sub>

- Big Bang Nucleosynthesis (BBN)
  - $-T \approx 0.2 \text{ MeV}$
  - New, higher <sup>4</sup>He mass fraction:

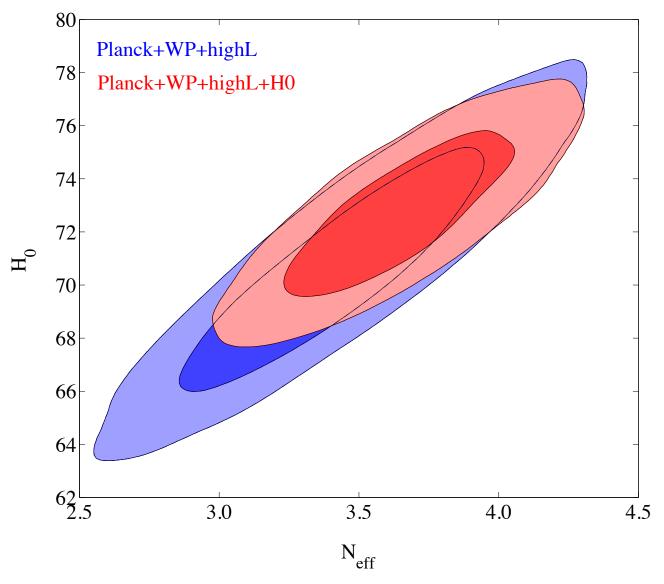
$$Y_p = 0.2565 \pm 0.0010 ({
m stat.}) \pm 0.0050 ({
m syst.})$$
 Y. I. Izotov and T. X. Thuan, ApJ 710, L67 (2010) 
$$N_{
m eff}^{
m BBN} = 3.68^{+0.80}_{-0.70}$$

Aver, Olive, and Skillman, JCAP 1005, 003 (2010), JCAP 1204, 004 (2012), Mangano and Serpico, Phys.Lett. B701, 296 (2011), Nollett and Holder, (2011), arXiv:1112.2683

## Measurements of N<sub>eff</sub>

- Cosmic Microwave Background (CMB)
  - matter-radiation equality,  $T_{eq}$ ≈ 0.79 eV

Planck	$N_{\rm eff}^{\rm CMB} = 3.30 \pm 0.27$
SPT	$N_{\rm eff}^{\rm CMB} = 3.71 \pm 0.35$
WMAP9	$N_{\rm eff}^{\rm CMB} = 3.84 \pm 0.4$
ACT	$N_{ m eff}^{ m CMB} = 2.78 \pm 0.55$



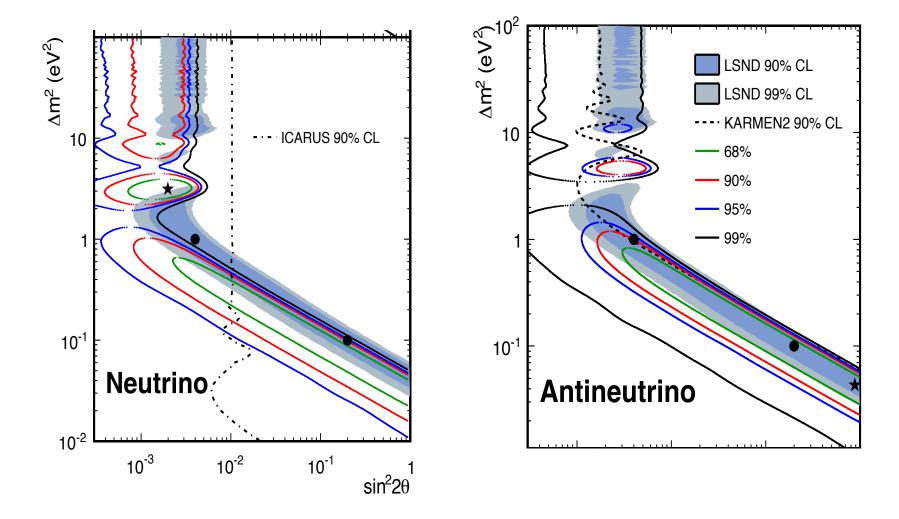
Archidiacono et al., arXiv:1307.0637

### There is room for $v_s$

- N<sub>eff</sub> > 3 is allowed, or even favored
  - Depending on details of analysis
  - $-N_{eff}$  = 4 probably allowed,  $N_{eff}$ =5 probably excluded

### Oscillation searches for $v_s$

- LSND, MiniBooNE : claim of  $v_{\mu} \rightarrow v_{e}$  appearance
  - Effective angle:  $\sin^2 2\theta_{\mu e} \equiv 4|U_{\mu 4}U_{e 4}|^2$
  - Tension with negative results: Icarus, Karmen,
     E776, Nomad
  - Tension between  $v_e$  and anti- $v_e$  MiniBooNE data sets

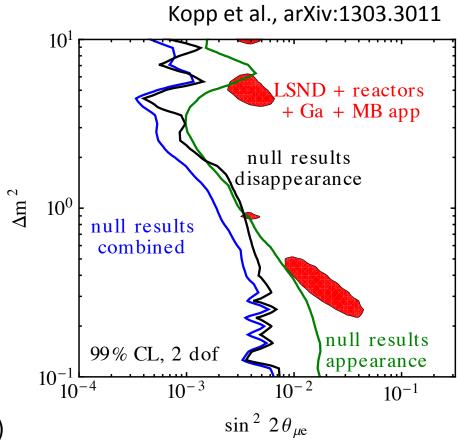


Aguilar-Arevalo et al., arXiv:1303.2588

### Other hints of $v_s$

- Reactor anomaly
  - anti-v<sub>e</sub> disappearance
- Gallium anomaly
  - $-v_e$  disappearance

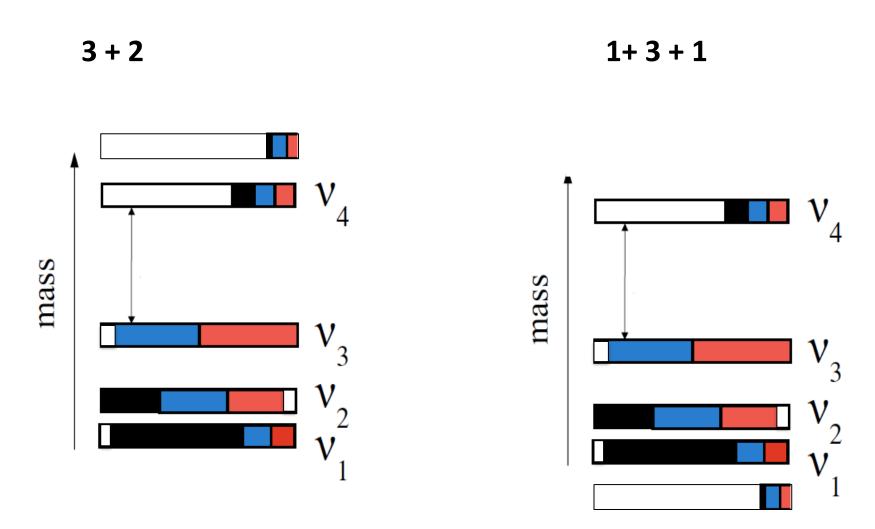
Mueller et al., PRC83, 054615 (2011) Mention et al., PRD83, 073006 (2011) Giunti and Laveder, PRC83, 065504 (2011) Kaether, et al., PLB685, 47 (2010), Abdurashitov et al. PRC80, 015807 (2009)

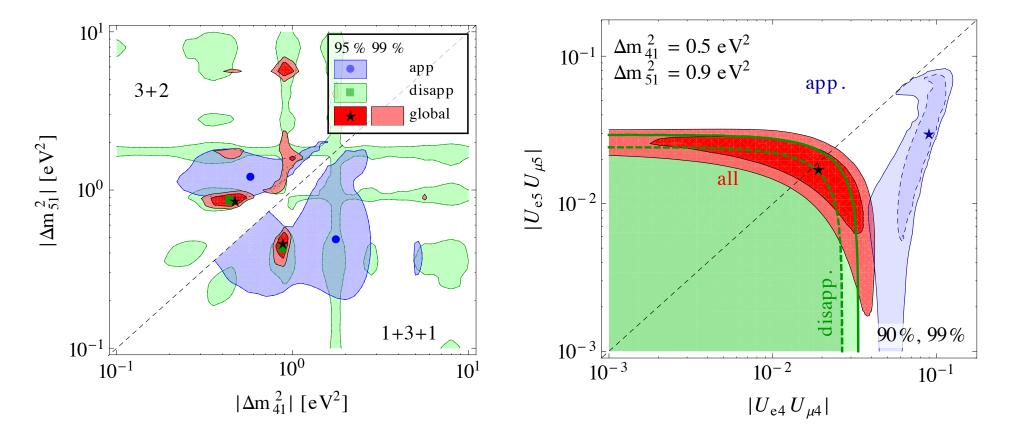


### How many light $v_s$ ?

- 3 + 1 (1 sterile)
  - Minimal model, fits well MB v and MB anti-v data separately
  - Poor fit of MB v and MB anti-v data combined

- 3 +2 (2 sterile)
  - Allows CP violation
  - Favored by MB v and MB anti-v data combined

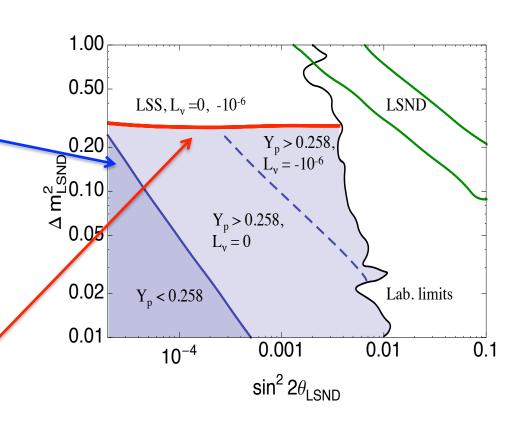




Kopp et al., arXiv:1303.3011, Giunti and Laveder, PRD84, 073008 (2011)

# Contribution of $v_s$ to $N_{eff}$

- Production: interplay of oscillations and collision
  - "thermalization line" : production rate ≈ Hubble rate
- $3 + 1 : v_s$  thermalized
  - $-N_{eff} = 4$
  - Constrained by cosmological mass bound



Cirelli et al., Nucl.Phys. B708 (2005) 215-267 Chu and Cirelli, Phys.Rev. D74 (2006) 085015 LM Krauss, C.L. & C. J. Smith, arXiv:1009.4666

#### 3+2: richer phenomenology

T. Jacques, L. Krauss, C.L., Phys. Rev. D 87, 083515, arXiv:1301.3119

- Incomplete thermalization
  - important to assess tension between SBL and dark radiation bounds
  - Lessens tension with cosmological mass bound
- At least 1 mildly relativistic at T<sub>eq</sub>
  - Suppressed contribution to N<sup>CMB</sup><sub>eff</sub>

#### References

- S. Dodelson, A. Melchiorri, and A. Slosar, Phys.Rev.Lett. 97, 041301 (2006)
- J. Birrell, C.-T. Yang, P. Chen, and J. Rafelski, (2012)
- S. Joudaki and M. Kaplinghat, Phys.Rev. D86, 023526 (2012)
- R. Foot and R. R. Volkas, Phys. Rev. Lett. 75, 4350 (1995).
- P. Di Bari, P. Lipari, and M. Lusignoli, Int.J.Mod.Phys. A15, 2289 (2000)
- K. Abazajian, G. M. Fuller, and M. Patel, Phys. Rev. D64, 023501 (2001)
- R. Foot and R. R. Volkas, Phys. Rev. D55, 5147 (1997)

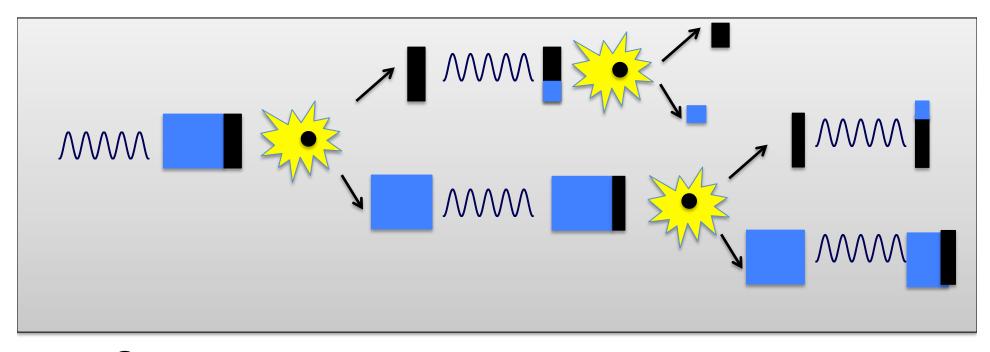
Melchiorri et al., JCAP 0901, 036 (2009)

Partial thermalization
Mass correction

# CONTRIBUTION TO RADIATION DENSITY: SUPPRESSION EFFECTS



#### Growing a v<sub>s</sub> population



- Quantum measurement
  - Collisions break the coherence of the wavepackets
  - $-v_s$  can accumulate and reach thermal abundance

#### Neutrino scattering

- Coherent : oscillations
  - Zero lepton asymmetry

$$V_{\alpha} = -A_{\alpha} \frac{2\sqrt{2}\zeta(3)}{\pi^2} \frac{G_F T^4 p}{m_W^2}$$
  $A_e = 17 \text{ and } A_{\mu,\tau} = 4.9$   
 $\sin^2 2\theta_{\alpha s} \simeq 4U_{\alpha 4}^2 U_{s 4}^2 \simeq 4U_{\alpha 4}^2$ ,  $\alpha = e, \mu$   
 $\sin^2 2\theta_m \simeq \frac{\sin^2 2\theta_{\alpha s}}{(1 - b_{\alpha}(p, T))^2}$   $b_{\alpha}(p, T) = \frac{2EV_{\alpha}}{\Delta m^2}$ 

Incoherent: collisions

$$\Gamma_{\alpha} \simeq y_{\alpha} \frac{180\zeta(3)}{7\pi^4} G_F^2 T^4 p, \qquad y_e = 3.6, \ y_{\mu,\tau} = 2.5.$$

#### **Production rate**

Assuming I<sub>osc</sub> << I<sub>mfp</sub>

$$\left(\frac{\partial}{\partial t} - HE\frac{\partial}{\partial E}\right) f_s(E,t) = \frac{\sin^2 2\theta_m(E,t)}{2} \frac{\Gamma_a(E,t)}{2} (f_\alpha(E,t) - f_s(E,t))$$
 Avg. oscillation probability Collision rate

"thermalization line":

$$\frac{\sin^2 2\theta_m(E,t)}{2} \frac{\Gamma_a(E,t)}{2} \approx H = \sqrt{\frac{4\pi^3 g^*}{45}} \frac{T^2}{M_{\rm pl}}$$

# Analytical solution: f<sub>s</sub> at decoupling

#### Assume:

- $-v_s$  mixed with  $v_\mu$  and  $v_e$
- $-g^*$  constant,  $f_{\alpha}$  constant,  $b_{\alpha}$ <<1 at freeze-out

#### • Result:

$$\frac{f_s}{f_{\alpha}} \simeq 1 - \exp\left[-6.51 \times 10^2 \left(\frac{m_4}{eV}\right) \left(U_{e4}^2 + 1.29U_{\mu 4}^2\right)\right]$$

- $-f_s/f_{\alpha}$  momentum-independent
- generalized to 3+2 (if 2 steriles don't mix)

Dodelson and Widrow, PRL. 72, 17 (1994), Foot and Volkas, PRD, 5147 (1997)

#### Numerical solution for 3+2

$$\dot{\rho} = \mathcal{H}\rho - \rho \mathcal{H}^{\dagger} = -i[H_m + V_{\text{eff}}, \rho] - \{\frac{\Gamma}{2}, (\rho - \rho_{eq})\}$$

$$\left(\frac{\partial \rho}{\partial T}\right)_{\frac{E}{T}} = -\frac{1}{HT} \left(-i[H_m + V_{\text{eff}}, \rho] - \{\frac{\Gamma}{2}, (\rho - \rho_{eq})\}\right)$$

$$H_m = U H_0 U^{\dagger} \qquad H_0 = \text{diag}(E_1, E_2, E_3, E_4, E_5)$$

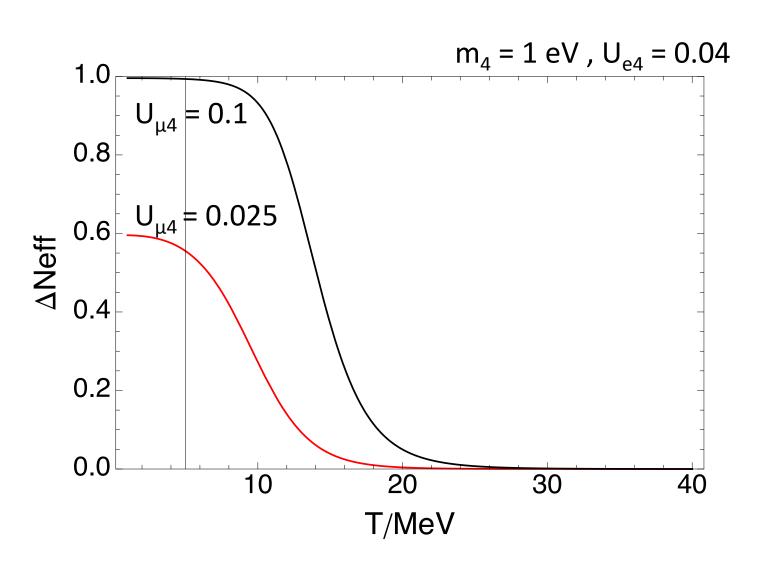
$$V_{\text{eff}} = I(V_e, V_{\mu}, V_{\tau}, 0, 0) \qquad \Gamma = I(\Gamma_e, \Gamma_{\mu}, \Gamma_{\tau}, 0, 0)$$

$$\rho_{eq} = I \left(1/(1 + e^{E/T})\right)$$

#### Monochromatic approximation

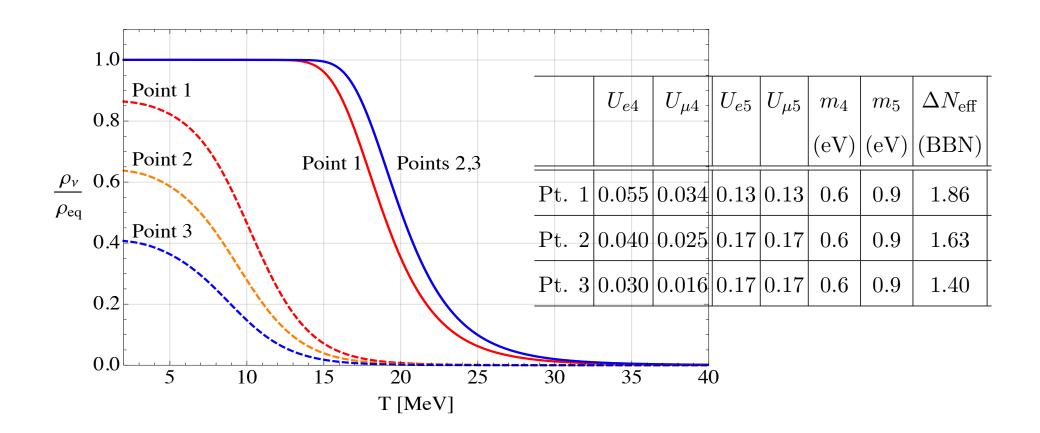
Melchiorri et al., JCAP 0901, 036 (2009)

# Results: ΔN<sup>BBN</sup> eff



# $\Delta N^{BBN}_{eff}$ for 3+2

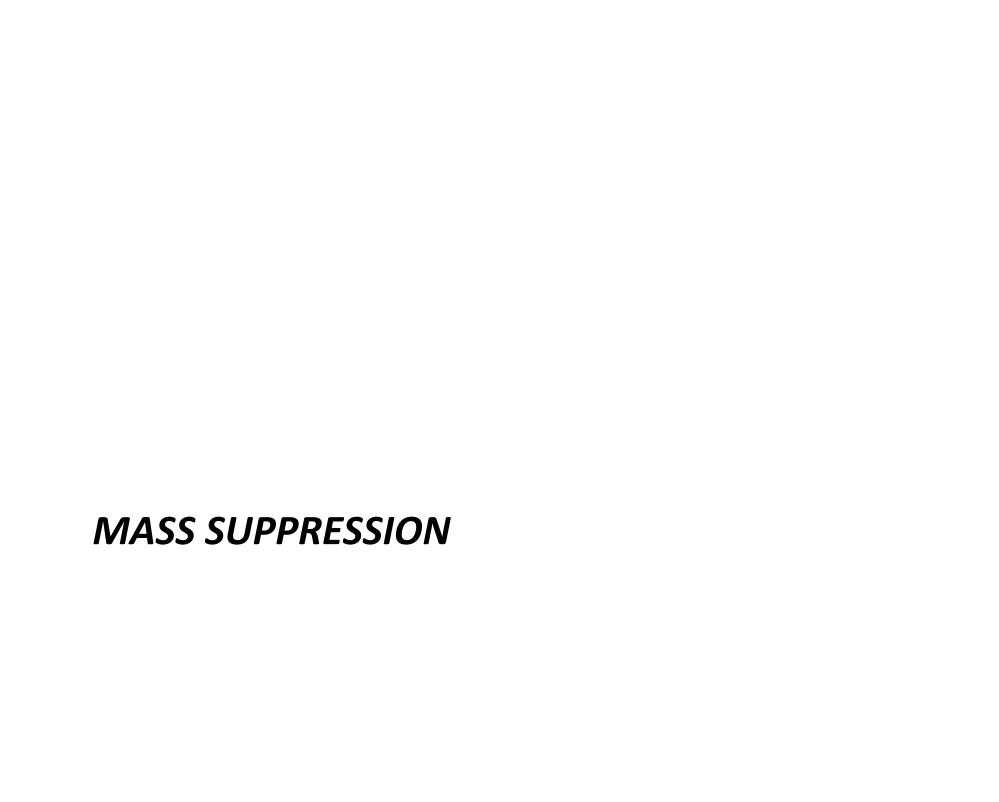
- Scan of allowed region from Giunti and Laveder, 2011
- Shown: points allowed within 2σ, giving minimum N<sub>eff</sub>



### Summary: v<sub>s</sub> at BBN

- 3 + 1 predicts  $N_{eff}^{BBN} = 4$ 
  - in absence of lepton asymmetry
  - Tension with cosmology?

- 3+2 predicts  $N_{eff}^{BBN} \sim 4.4 5$ 
  - Tension with cosmology



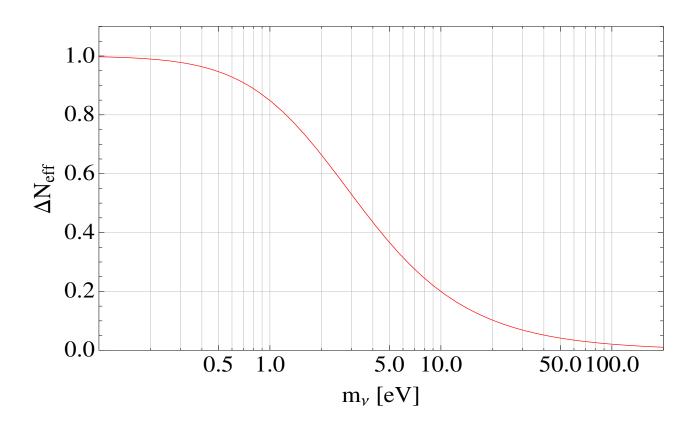
# CMB: mildly relativistic $v_s$

- Neutrino "temperature" at matter/radiation equality: T<sub>v</sub>≈0.55 eV
  - Comparable with  $m_4 \sim eV$
- Contribution to N<sup>CMB</sup><sub>eff</sub> through *pressure* density
  - Mass must be included

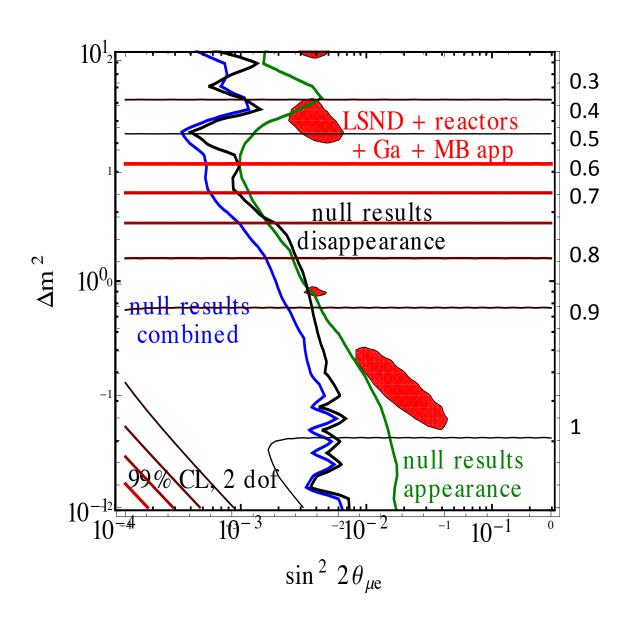
$$N_{\text{eff}} = \frac{\rho_{\nu}^{\text{rel}}}{\rho_{\nu,m=0}^{\text{th}}} = \frac{P_{\nu}}{P_{\nu,m=0}^{\text{th}}}.$$

$$P_{\nu} = \frac{g}{2\pi^2} \int dp \frac{p^4}{3E} f_{\nu}(p), \qquad E = \sqrt{m^2 + p^2}.$$

- contribution of one thermalized sterile neutrino to  $N^{\text{CMB}}_{\phantom{\text{eff}}}$ 



#### • 3+1: iscontours of $\Delta N^{CMB}_{eff}$



#### $v_s$ and cosmological mass limit

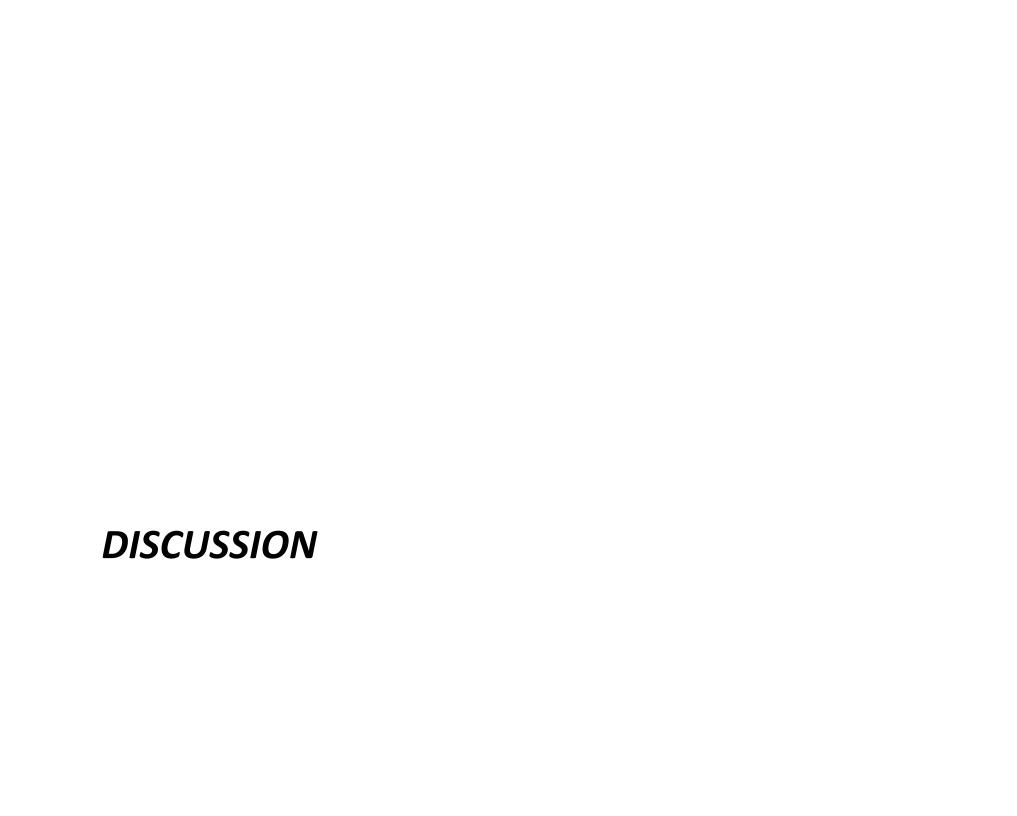
Constrained quantity:

$$\Sigma = m_1 + m_2 + m_3 + m_4 \times \Delta N^{BBN}_{eff}$$

- From CMB measurement of  $\Omega_{m,v}$
- Bound (WMAP9): Σ < 0.7 eV
  - Incomplete thermalization lessens conflict

## 3+2 summary

	$U_{e4}$	$U_{\mu 4}$	$U_{e5}$	$U_{\mu 5}$	$m_4$	$m_5$	$\Delta N_{ m eff}$	$\Delta N_{ m eff}$	$\sum m_{\nu_s}^{\text{eff}}$
					(eV)	(eV)	(BBN)	$z_{eq}$	(eV)
Pt. 1	0.055	0.034	0.13	0.13	0.6	0.9	1.86	1.68	1.31
Pt. 2	0.040	0.025	0.17	0.17	0.6	0.9	1.63	1.47	1.18
Pt. 3	0.030	0.016	0.17	0.17	0.6	0.9	1.40	1.25	1.05



#### Sterile neutrino(s) contribution to N<sub>eff</sub>

- May be not integer
  - Incomplete thermalization
- May be different for BBN and CMB
  - Mass suppression
  - Signature of eV scale  $v_s$

#### SBL-motivated sterile neutrinos

- $3 + 1 : N^{BBN}_{eff} = 4$ 
  - Uncertain compatibility with dark radiation constraints
  - Some tension with cosmological mass bound
- $3+2:N^{BBN}_{eff} \ge 4.4 \text{ (or so)}$ 
  - Up to one partially populated
  - tension with dark radiation bounds
  - Conflict with cosmological mass bound

#### Next steps

- SBL experiments: open questions on systematics
- Ab-initio analyses desirable
- towards global analyses: all cosmological data
  - + all terrestrial data
  - Possible? Meaningful?